



PSG EXEMPTION REQUEST

Agency:	
Submitted By:	
Phone:	
Email:	
Date Submitted:	
Email To:	gtapsg@gta.ga.gov

Section 1

Complete Section 1 for all Requests

1a. Standard Name / Number for which exemption is requested:

1b. Check Proposed Exemption Period Requested: "one year", "two year" or "three year"

1c. Is there a Project to Implement the Standard in the Agency Strategic Plan? Yes No If "No", explain:

NOTE: If this Request seeks waiver from:

- A Security standard, complete Section 2 below,
- SO-10-003 "Enterprise Operational Environment" or SA-13-004 "Requirements To Use Cloud Services", complete Section 3 below,
- Any other standard, complete Section 4 below.

Section 2

If this Request seeks waiver from a "Security" Standard, complete Section 2.

2a. Describe the agency's proposed actions that require an exemption to the standard:

2b. Describe how the agency could *comply* with this Standard and the *COST* impact to do so:

2c. Justification for the proposed exemption:

i. Describe any legal, funding, operational and/or other constraints:

ii. Describe the proposed scope and extent of exemption:

iii. Business justification:

2d. Describe the *compensating controls* which will be implemented to mitigate the risks that implementing this standard would have addressed and the *COSTS* to do so?

2e. Provide other relevant information:

2f. Provide the contact information for your Agency Information Security Officer (or the person providing the information shown in 2d).

Name:

Phone:

Email:

Section 3

If this Request seeks waiver from SO-10-003 "Enterprise Operational Environment" or SA-13-004 "Requirements To Use Cloud Services" Complete Section 3

3a. Describe the agency *project* that requires an exemption from SO-10-003? (Specifically, include Name of the service, application, or system; its function and its intended use by the agency. Identify if this is a new activity for the agency, or identify what will be replaced by the project. Identify the hosting entity, the entity that provides the service (developer) and supports the service (maintains, provides trouble support, etc.), and the location at which the service will be hosted.)

3b. Has the agency submitted an APR to GTA for this project?

If YES - Check here [] Then go to Question 3C

If NO - **Submit an APR with this Request** (See SM-08-103). Go to Question 3C

3c. Has the agency submitted a Business Case to GTA for this project?

If YES - Check here [] Then go to Question 3d

If NO - Continue here. Provide the following information:

Describe any legal, funding, operational and/or other constraints on this project:

Describe the proposed scope and extent of exemption:

Describe how the proposed solution will enhance services for the agency's clients in ways not possible operating in accordance with the standard:

If data is transferred to or from another state business application, describe how this is now done and how it is intended to be done in the proposed system. If no data is transferred, please confirm.

How are the agency's and the state's needs for data back-up and recovery to be accomplished with the proposed system? Define the needs and proposed processes.

Alternatives Considered:

If No alternatives were investigated, please explain why:

Describe below the alternative solutions which have been investigated. Provide the approximate cost and an architectural description of each alternative? Describe the suitability of each alternative for agency business as well as suitability the alternative to run in the enterprise operational environment.

Alternative 1: Describe the enterprise vendor's (IBM / AT&T) proposal:

Proposal:

Cost:

Cost Factors	Year 1	Year 2	Year 3	Year 4	Year 5	Totals
(add lines as needed)						
Totals						

Alternative 2: Describe a self-developed solution:

Proposal:

Cost:

Cost Factors	Year 1	Year 2	Year 3	Year 4	Year 5	Totals
(add lines as needed)						
Totals						

Alternative 3: Describe other solutions:

Proposal:

Cost:

Cost Factors	Year 1	Year 2	Year 3	Year 4	Year 5	Totals
(add lines as needed)						
Totals						

(add lines for more alternatives)

Note: Questions 3d through 3f must be provided by the Senior Agency Information Security Officer (SAISO). If your agency has no SAISO, it must be provided by the person with this responsibility.

3d. What is the Security Impact assigned to the current data/system:

- HIGH
- MODERATE
- LOW

3e. What security attestation has your agency obtained from the proposed provider?

- SOC 2 Audit
- None
- Other _____

specify

3f. Has your Senior Agency Information Security Officer (SAISO) or the person with responsibility for agency IT security compared the security provisions of the proposed provider to the agency's security needs and provided a written opinion that the security, privacy and confidentiality risks remaining are acceptable? Yes [] No []

3g. Provide contact information for your SAISO (or the person providing the information shown in 3d, 3e and 3f).

Name:

Phone:

Email:

3h. Who is the Business Owner for this project?

Name:

Phone:

Email:

3i. Other relevant information: (Name and Operating Location of 1) Development/Support and 2) Operational Host)

Section 4

If this request seeks waiver from any other standard, complete Section 4.

4a. Describe the situation that requires an exemption:

4b. Describe how the agency could implement this Standard and the cost impact to do so:

4c. Justification for the proposed exemption:

i. Describe any legal, funding, operational and/or other constraints:

ii. Describe the proposed scope and extent of exemption:

iii. Describe the business justification:

4d. Other Relevant Information: